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Case Docket No. UC086.1CPDV3C1

Date: May 23, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

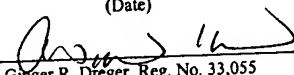
TECH CENTER 1600/2900

Applicant(s) : TSIEN et al.  
Appln. No. : 10/024,686  
Filed : December 17, 2001  
For : MODIFIED GREEN  
FLUORESCENT PROTEINS  
  
Examiner : Unknown  
Art Unit : 1652

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Office, PO Box 2327, Arlington VA 22202, on

May 23, 2002

(Date)

  
Ginger R. Dreger, Reg. No. 33,055

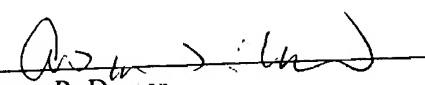
### TRANSMITTAL LETTER

UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) Establishment of Right of Assignee to Take Action and Revocation and Power of Attorney.
- (X) A copy of the Recorded Assignment Document stamped "COPY - DO NOT RECORD".
- (X) The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

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052202

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : TSIEN et al. ) Art Unit:1652  
Appl. No. : 10/024,686 )  
Filed : December 17, 2001 )  
Title : MODIFIED GREEN FLUORESCENT )  
PROTEINS )

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION  
AND  
REVOCATION AND POWER OF ATTORNEY

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The present application is a continuation application (and claims the benefit of priority under 35 U.S.C. § 120) of U.S. Application Serial No. 09/057,995 filed April 9, 1998, which is a divisional application (and claims the benefit of priority under 35 U.S.C. § 120/121) of U.S. Application Serial No. 08/753,144 filed November 20, 1996, now issued U.S. Patent No. 6,066,476. As such, the prior assignment recorded against the 08/753,144 application is applied to the present application. A true copy of the Assignment of the 08/753,144 application from the inventors, Roger Y. Tsien and Roger Heim, to the Assignee, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA is recorded at Reel 8759 and Frame 0344, and is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The original Assignment is recorded at Reel 8759 and Frame 0344 from the inventors, Roger Y.

Appl. No. : 10/024,686  
Filed : December 17, 2001

Tsien and Roger Heim, to the Assignee, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone (949) 760-0404, **Customer No. 20,995**, as its attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use **Customer No. 20,995** for all communications.

CALIFORNIA

THE REGENTS OF THE UNIVERSITY OF

Dated: May 22, 2002

By: Linda S. Stevenson  
Linda S. Stevenson

Title: Manager, Patent Prosecution

Address: 1111 Franklin Street, 5<sup>th</sup> Floor  
Oakland, California 94607-5200

07257/032002



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 ASSISTANT SECRETARY AND COMMISSIONER  
 OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

DECEMBER 03, 1997

PTAS

FISH & RICHARDSON P.C.  
 LISA A. HAILE, PH.D.  
 4225 EXECUTIVE SQUARE, SUITE 1400  
 LA JOLLA, CA 92037



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UNITED STATES PATENT AND TRADEMARK OFFICE  
 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/24/1997

REEL/FRAME: 8759/0344  
 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
 TSIEN, ROGER Y.

DOC DATE: 04/18/1997

ASSIGNOR:  
 HEIM, ROGER

DOC DATE: 04/18/1997

ASSIGNEE:  
 REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE  
 300 LAKESIDE DRIVE, 22ND FLOOR  
 OAKLAND, CALIFORNIA 94612-3550

SERIAL NUMBER: 08753144  
 PATENT NUMBER:

FILING DATE: 11/20/1996  
 ISSUE DATE:

JACQUELINE MOORE, PARALEGAL  
 ASSIGNMENT DIVISION  
 OFFICE OF PUBLIC RECORDS

* No Docketing Required *	
Reviewed By	Practice Systems
Initials:	<i>[Signature]</i>
Reviewed By	Billing Secretary
Initials:	

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FISH &amp; RICHARDSON

10-29-1997

Money Docket No.: 07257/032002



100560379

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1. Name of conveying party(ies):  
 Roger Y. Tsien and Roger Heim

Additional name(s) attached? ☐ Yes

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: 4/18/97

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 The Regents of the University of California  
 300 Lakeside Drive, 22nd Fl.  
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Additional names/addresses attached? ☐ Yes

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4. Application number(s) or patent number(s):

If this document is being filed with a new application, the filing date of the application is:

A. Patent Application No.(s):  
 08/753,144

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Lisa A. Haile, Ph.D.  
 Fish & Richardson P.C.  
 4225 Executive Square, Suite 1400  
 La Jolla, CA 92037

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

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Lisa A. Haile, Ph.D.

Name of Person Signing

*Lisa A. Haile*

Signature

4/21/97

Date

Total number of pages including cover sheet, attachments, and document: 3

29620

Date of Deposit: April 21, 1997 08753,144

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*Lucille M. Begalla*

Lucille M. Begalla

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1. Roger Y. Tsien

## 2. Roger Heim

## MODIFIED GREEN FLUORESCENT PROTEINS

(a) — U.S. patent application executed on \_\_\_\_\_, entitled as above and listing the above named persons as inventors

(b) X U.S. patent application serial no. 08/753,144, filed on November 20, 1996

(c) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Signature of Inventor(s)

18 day of April, 1997

  
Roger Y. Tsien

STATE OF \_\_\_\_\_ )

County of \_\_\_\_\_ : ss.

On \_\_\_\_\_, 199\_\_\_\_, before me, \_\_\_\_\_, a Notary Public for the State of California, personally appeared Roger Y. Tsien, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity on behalf of which the person acted, executed the instrument.

Witness my hand and official seal

day of \_\_\_\_\_, 199\_\_

executed this

Signature of Inventor(s)

**COPY**

**Do Not Record**

18 day of April, 1997

Roger Heim  
Roger Heim

STATE OF \_\_\_\_\_ )

County of \_\_\_\_\_ : ss.

On \_\_\_\_\_, 199\_\_\_\_, before me, \_\_\_\_\_, a Notary Public for the State of California, personally appeared Roger Heim, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity on behalf of which the person acted, executed the instrument.

Witness my hand and official seal

\_\_\_\_ day of \_\_\_\_\_, 199\_\_\_\_

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Roger Y. Tsien and Roger Heim

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☐ Other:

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If this document is being filed with a new application, the execution date of the application is:  
A. Patent Application No.(s):  
08/753,144

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

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Lisa A. Haile, Ph.D.  
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4225 Executive Square, Suite 1400  
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Lisa A. Haile, Ph.D.

Name of Person Signing

Lisa A. Haile 4/21/97  
Signature Date

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Lucille M. Begalla

Lucille M. Begalla